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40 Rec'd PCT/PTO 29 SEP 2000

FORM PTO 1390 (REV 11-98) U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTORNEY'S DOCKET NUMBER P01934US1
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) <b>09/647418</b>
INTERNATIONAL APPLICATION NO. PCT/US99/06799	INTERNATIONAL FILING DATES 30/03/1999	PRIORITY DATE CLAIMED 30 March 1998
TITLE OF INVENTION Regulated Apoptosis Using Chemically Induced Dimerization of Apoptosis Factors		
APPLICANT(S) FOR DO/EO/US David M. Spencer, et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<p>1. <input checked="" type="checkbox"/> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>2. <input type="checkbox"/> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</p> <p>3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371 (f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39(1).</p> <p>4. <input checked="" type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed.</p> <p>5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371 (c)(2))</p> <p>a. <input type="checkbox"/> is transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> has been transmitted by the International Bureau.</p> <p>c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).</p> <p>6. <input type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371 (c)(2)).</p> <p>7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))</p> <p>a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau).</p> <p>b. <input type="checkbox"/> have been transmitted by the International Bureau.</p> <p>c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.</p> <p>d. <input checked="" type="checkbox"/> have not been made and will not be made.</p> <p>8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).</p> <p>9. <input checked="" type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).</p> <p>10. <input type="checkbox"/> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).</p> <p><b>Items 11. to 16. below concern document(s) or information included:</b></p> <p>11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.</p> <p>12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 &amp; 3.31 is included.</p> <p>13. <input type="checkbox"/> A <b>FIRST</b> preliminary amendment.</p> <p><input type="checkbox"/> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment.</p> <p>14. <input type="checkbox"/> A substitute specification.</p> <p>15. <input type="checkbox"/> A change of power of attorney and/or address letter.</p> <p>16. <input checked="" type="checkbox"/> Other items or information: Article 34 Amendments, copy of Assignment &amp; Small Entity form.</p>		

U.S. APPLICATION NO. (if known, see 37 CFR 1.5) <b>09/647418</b>		INTERNATIONAL APPLICATION NO. PCT/US99/06799		ATTORNEY'S DOCKET NUMBER P01934US1	
17. <input checked="" type="checkbox"/> The following fees are submitted: <b>BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) – (5)):</b> <input type="checkbox"/> Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... <input type="checkbox"/> International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... <input checked="" type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... <input type="checkbox"/> International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) .....				<b>CALCULATIONS</b> PTO USE ONLY	
ENTER APPROPRIATE BASIC FEE AMOUNT =				670.00	
Surcharge of _____ for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).				0.00	
<b>CLAIMS</b>	<b>NUMBER FILED</b>	<b>NUMBER EXTRA</b>	<b>RATE</b>		
Total claims	60 - 20 =	40	x 9.00	360.00	
Independent claims	1 - 3 =	0	x 39.00	0.00	
MULTIPLE DEPENDENT CLAIM(s) (if applicable)			x 260.00	260.00	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				1,290.00	
Reduction of ½ for filing by small entity, if applicable. Verified Small Entity Statement must also be filed (Note 37 CFR 1.9, 1.27, 1.28).				645.00	
<b>SUBTOTAL =</b>				645.00	
Processing fee of _____ for furnishing the English translation later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492 (f)). +					
<b>TOTAL NATIONAL FEE =</b>				645.00	
Fee for recording the enclosed assignment (37 CFR 1.21 (h)). Assignment must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31) + ( \$40.00 per property).				40.00	
<b>TOTAL FEES ENCLOSED =</b>				\$ 685.00	
				<b>Amount to be:</b> <b>Refunded</b> <b>Charged</b>	
a. <input checked="" type="checkbox"/> A check in the amount of <u>685.00</u> to cover the above fees is enclosed. b. <input type="checkbox"/> Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees. A duplicate copy of this sheet is enclosed. c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required or credit any overpayment to my Deposit Account No. <u>06-2375</u> . A duplicate copy of this sheet is enclosed.					
<b>NOTE: Where an appropriate time limit under 37 CFR 1.494 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.</b>					
SEND ALL CORRESPONDENCE TO: Melissa D. Schwaller <b>Fulbright &amp; Jaworski L.L.P.</b> 1301 McKinney, Suite 5100 Houston, TX 77010-3095					
				<i>Melissa D. Schwaller</i> 9-29-00 Melissa D. Schwaller 46,089 _____ REGISTRATION NUMBER	

09/647418  
430 Recd PCT/PTO 29 SEP 2000

Docket No. P01934US1

I hereby certify that this document is being deposited with the U.S. Postal Service as Express Mail Certificate No. **EK102712117US**, in an envelope addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231, on the date shown below.  
Dated: Sept. 29, 2000. Signature: Melissa Schwallier  
Melissa Schwallier

Docket No.: P01934US1  
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
David M. Spencer, et al.

Serial No.: Not Yet Assigned

Group Art Unit: Not Yet Assigned

Filed: Herewith

Examiner: Not Yet Assigned

For: Regulated Apoptosis Using Chemically Induced  
Dimerization of Apoptosis Factors

TRANSMITTAL LETTER

Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

1. Transmittal Letter to the United States Designated-Elected Office Concerning a Filing Under 35 U.S.C. 371;
2. Inventor Declaration;
3. Small Entity Form;
4. Copy of Assignment and cover sheet;
5. Copy of Article 34 Amendments; and

Serial No. Not Yet Assigned

1647418  
PCT/PTO 29 SEP 2000  
Docket No. P01934US1

6. Check in the amount of \$685.00.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 06-2375, under Order No. P01934US1. A duplicate copy of this paper is enclosed..

Respectfully submitted,

Dated: 9-29-00

By Melissa D. Schwaller  
Melissa D. Schwaller  
Registration No.: 46,089  
FULBRIGHT & JAWORSKI L.L.P.  
1301 McKinney, Suite 5100  
Houston, TX 77010-3095  
(713) 651-7732  
Attorney for Applicant